



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re application of: Nakayama et al.

Art Unit: Not yet assigned

Application No. 10/003,042

Filed: November 13, 2001

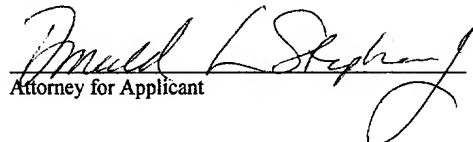
For: METHODS AND DEVICES FOR  
MEASURING A SURFACE PROFILE OF  
AN OPTICAL ELEMENT

Examiner: Not yet assigned

Date: April 19, 2002

CERTIFICATE OF MAILING

I hereby certify that this paper and the documents referred to as being attached or enclosed herewith are being deposited with the United States Postal Service on April 19, 2002, as First Class Mail in an envelope addressed to:  
BOX MISSING PARTS, COMMISSIONER FOR PATENTS,  
WASHINGTON D.C. 20231.

  
Attorney for Applicant

MISSING PARTS TRANSMITTAL LETTER

BOX MISSING PARTS  
COMMISSIONER FOR PATENTS  
Washington, DC 20231

Enclosed for filing in the application referenced above are the following:

- ☒ Copy of Notice to File Missing Parts of Application--Filing Date Granted
- ☒ Basic Filing Fee of \$740.00
- ☒ Translation Fee of \$130.00 set forth in 37 CFR §1.17(i)
- ☒ 16 pages of specification, 5 pages of claims, an abstract, all as translated into English.
- ☒ Combined Declaration and Power of Attorney.
- ☒ As required under 37 CFR §1.52(d), the undersigned hereby states, to the best of his current knowledge and belief, that the enclosed English translation of the specification, claims, and abstract are accurate. In preparing the enclosed English documents, certain changes were made to render the text more understandable (as an English document translated from Japanese) and to place the application in a proper format for a U.S. patent application. No new matter was added thereby.
- ☒ 5 sheet(s) of drawings.
- ☒ An assignment of the invention to: Nikon Corporation, a Recordation Cover Sheet, and the Recordal fee of \$40.00.
- ☒ Information Disclosure Statement.
- ☒ Form PTO-1449 and copies of documents listed thereon.

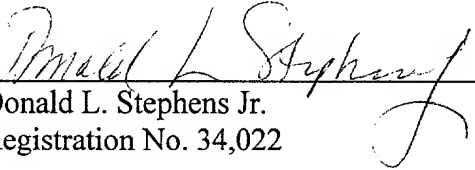
FILING FEE					
For	Claims Filed	Number Free	Number Extra	Rate	Basic Fee \$740.00
Total Claims	14	20	= 0	\$18.00	\$ 0.00
Independent Claims	4	3	= 1	\$84.00	84.00
Multiple Dependent Claim Fee				\$280.00	
TOTAL FILING FEE					\$824.00

- ☒ Surcharge for Late Filing of Fee or Oath or Declaration  
☒ \$130.00 - All Others
- ☒ A check in the amount of \$1124.00 to cover the above-listed fees
- ☒ The Director is hereby authorized to charge any additional fees, which may be required, or credit over-payment, to Account No. 02-4550. A copy of this sheet is enclosed.
- ☒ Please return the enclosed postcard to confirm that the items listed above have been received.

Respectfully submitted,

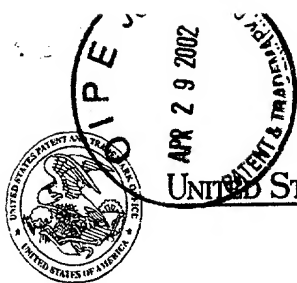
KLARQUIST SPARKMAN, LLP

By

  
 Donald L. Stephens Jr.  
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cc: Docketing

COPY OF PATENT  
ORIGINALLY FILED

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/003,042	11/13/2001	Shigeru Nakayama	4641-61595

CONFIRMATION NO. 1236

## FORMALITIES LETTER



\*OC00000007503757\*

KLARQUIST SPARKMAN, LLP  
One World Trade Center  
Suite 1600  
121 S.W. Salmon Street  
Portland, OR 97204

Date Mailed: 02/20/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- **The balance due by applicant is \$ 1000.**
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing figures contain text that is not in English (including, for example, a flow chart that was originally not in English that has been marked up to include the English text)

